

NATIONAL TREASURY (NT)																																																																																																			
MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DORA)																																																																																																			
Notes: Must be filed by: 017-315 8292 000 602 5417 & emailed to: fmgr@treasury.gov.za. The municipality is required to submit the report by the 15th of the month following the reporting period. Notes: Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.																																																																																																			
Name of Municipality: DCP Pholeka Seta (NC) Financial Year: 2021/22 Month: 10th November																																																																																																			
Section A: Previous Financial Year																																																																																																			
Financial Management Grant Received and Expenditure Incurred: 2019/21																																																																																																			
Total FMG received: 1 500 000.00																																																																																																			
Total FMG expenditure: 1 500 000.00																																																																																																			
FMG unspent: 0.00 Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.																																																																																																			
FMG unspent and returned to the National Revenue Fund: 0.00 Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share.																																																																																																			
Total FMG unspent as at end of financial year: 0.00 Note - This should be funds that are approved by NT as rollover.																																																																																																			
Section B: Current Financial Year																																																																																																			
Financial Management Grant Received and Expenditure Incurred: 2021/22																																																																																																			
Total FMG received for current financial year: 1 500 000.00																																																																																																			
Total unspent FMG approved for rollover (Refer to Section A: A19): 0.00																																																																																																			
Total FMG received: 1 500 000.00																																																																																																			
Total spent year-to-date (See last month's return - Section B: A31): 877 941.94 Please note for July's return, this amount would be 0.																																																																																																			
<table border="1"> <thead> <tr> <th>Total spending this month</th> <th>Aggregate spending from previous months</th> <th>Total spending to date</th> <th>Allocation as per support plan</th> <th>Allocation Unspent</th> <th>Comment</th> </tr> </thead> <tbody> <tr> <td>53 530.32</td> <td>53 530.32</td> <td>53 530.32</td> <td>55 000.00</td> <td>496 469.68</td> <td></td> </tr> <tr> <td>- Interns, Stipends/Salaries and Training</td> <td></td> <td>0.00</td> <td>40 000.00</td> <td>40 000.00</td> <td></td> </tr> <tr> <td>- Training in support of Minimum Competence Regulations</td> <td></td> <td>0.00</td> <td>40 000.00</td> <td>40 000.00</td> <td></td> </tr> <tr> <td>- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee</td> <td></td> <td>0.00</td> <td>40 000.00</td> <td>40 000.00</td> <td></td> </tr> <tr> <td>- Acquisition, Upgradation and Maintenance of Financial Systems and Macros</td> <td></td> <td>0.00</td> <td>50 000.00</td> <td>50 000.00</td> <td></td> </tr> <tr> <td>- Preparation and timely submission of Annual Financial Statements for audit</td> <td></td> <td>0.00</td> <td>100 000.00</td> <td>100 000.00</td> <td></td> </tr> <tr> <td>- Support implementation of corrective actions to address audit findings</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>- Support the training of financial officers that are members of the BTO committee</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>- Address shortcomings identified in the FMCM Assessment report</td> <td></td> <td>0.00</td> <td>50 000.00</td> <td>50 000.00</td> <td></td> </tr> <tr> <td>- Support the implementation of the financial misreporting regulation and promote consequence management</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>- To strengthen financial governance and oversight as well as functioning of MPAC</td> <td></td> <td>0.00</td> <td>50 000.00</td> <td>50 000.00</td> <td></td> </tr> <tr> <td>Total FMG spent</td> <td>861 492.26</td> <td>0.00</td> <td>53 530.32</td> <td>1 500 000.00</td> <td>1 500 000.00</td> </tr> <tr> <td>Percentage spent</td> <td>57.46</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Total FMG unspent for current financial year</td> <td>788 007.74</td> <td></td> <td></td> <td></td> <td>Note - AQVM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund</td> </tr> </tbody> </table>										Total spending this month	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent	Comment	53 530.32	53 530.32	53 530.32	55 000.00	496 469.68		- Interns, Stipends/Salaries and Training		0.00	40 000.00	40 000.00		- Training in support of Minimum Competence Regulations		0.00	40 000.00	40 000.00		- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee		0.00	40 000.00	40 000.00		- Acquisition, Upgradation and Maintenance of Financial Systems and Macros		0.00	50 000.00	50 000.00		- Preparation and timely submission of Annual Financial Statements for audit		0.00	100 000.00	100 000.00		- Support implementation of corrective actions to address audit findings		0.00	20 000.00	20 000.00		- Support the training of financial officers that are members of the BTO committee		0.00	20 000.00	20 000.00		- Address shortcomings identified in the FMCM Assessment report		0.00	50 000.00	50 000.00		- Support the implementation of the financial misreporting regulation and promote consequence management		0.00	20 000.00	20 000.00		- To strengthen financial governance and oversight as well as functioning of MPAC		0.00	50 000.00	50 000.00		Total FMG spent	861 492.26	0.00	53 530.32	1 500 000.00	1 500 000.00	Percentage spent	57.46					Total FMG unspent for current financial year	788 007.74				Note - AQVM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund
Total spending this month	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent	Comment																																																																																														
53 530.32	53 530.32	53 530.32	55 000.00	496 469.68																																																																																															
- Interns, Stipends/Salaries and Training		0.00	40 000.00	40 000.00																																																																																															
- Training in support of Minimum Competence Regulations		0.00	40 000.00	40 000.00																																																																																															
- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee		0.00	40 000.00	40 000.00																																																																																															
- Acquisition, Upgradation and Maintenance of Financial Systems and Macros		0.00	50 000.00	50 000.00																																																																																															
- Preparation and timely submission of Annual Financial Statements for audit		0.00	100 000.00	100 000.00																																																																																															
- Support implementation of corrective actions to address audit findings		0.00	20 000.00	20 000.00																																																																																															
- Support the training of financial officers that are members of the BTO committee		0.00	20 000.00	20 000.00																																																																																															
- Address shortcomings identified in the FMCM Assessment report		0.00	50 000.00	50 000.00																																																																																															
- Support the implementation of the financial misreporting regulation and promote consequence management		0.00	20 000.00	20 000.00																																																																																															
- To strengthen financial governance and oversight as well as functioning of MPAC		0.00	50 000.00	50 000.00																																																																																															
Total FMG spent	861 492.26	0.00	53 530.32	1 500 000.00	1 500 000.00																																																																																														
Percentage spent	57.46																																																																																																		
Total FMG unspent for current financial year	788 007.74				Note - AQVM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund																																																																																														
Section C: (Current Financial Year)																																																																																																			
The municipality is required to compile and submit the FMG Support Plan to the National Treasury in April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days.																																																																																																			
<table border="1"> <thead> <tr> <th>Performance Information: Institutional</th> <th>Yes/No</th> <th>Number</th> <th>CFO Acting Yes/No</th> <th>Name of CFO</th> <th>MM Acting (Yes/No)</th> <th>Name of MM</th> </tr> </thead> <tbody> <tr> <td>Appointment of appropriately skilled CFO (consistent with the competence regulations)</td> <td>Yes</td> <td>1</td> <td>No</td> <td>MR. BF JAMES</td> <td>No</td> <td>MR. RE PETERSE</td> </tr> <tr> <td>Appointment of appropriately skilled Senior Financial Managers in the BTO</td> <td>Yes</td> <td>19</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Appointment of appropriately skilled Internal Audit personnel</td> <td>Yes</td> <td>2</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Appointment of appropriately skilled SCM personnel</td> <td>Yes</td> <td>2</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Number of interns appointed</td> <td></td> <td>0</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>										Performance Information: Institutional	Yes/No	Number	CFO Acting Yes/No	Name of CFO	MM Acting (Yes/No)	Name of MM	Appointment of appropriately skilled CFO (consistent with the competence regulations)	Yes	1	No	MR. BF JAMES	No	MR. RE PETERSE	Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes	19					Appointment of appropriately skilled Internal Audit personnel	Yes	2					Appointment of appropriately skilled SCM personnel	Yes	2					Number of interns appointed		0																																																				
Performance Information: Institutional	Yes/No	Number	CFO Acting Yes/No	Name of CFO	MM Acting (Yes/No)	Name of MM																																																																																													
Appointment of appropriately skilled CFO (consistent with the competence regulations)	Yes	1	No	MR. BF JAMES	No	MR. RE PETERSE																																																																																													
Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes	19																																																																																																	
Appointment of appropriately skilled Internal Audit personnel	Yes	2																																																																																																	
Appointment of appropriately skilled SCM personnel	Yes	2																																																																																																	
Number of interns appointed		0																																																																																																	
Section D: (Current Financial Year)																																																																																																			
<table border="1"> <thead> <tr> <th>Performance Information: Audit Outcomes</th> <th>Audit Outcome</th> <th>Audit Outcome</th> <th>Audit Action Plan in place (Yes/No)</th> <th>Audit Action Plan Implemented (Yes/No)</th> <th>Total number of items on Audit Action</th> <th>Number of items completed on the Audit Action Plan</th> <th>Number of items outstanding on the audit action plan</th> <th>Planned completion date</th> </tr> </thead> <tbody> <tr> <td>Audit Outcome achieved</td> <td>Unqualified with findings</td> <td>Unqualified with findings</td> <td></td> <td></td> <td>6</td> <td>4</td> <td>2</td> <td>30-Jun-22</td> </tr> <tr> <td>Audit Action Plan</td> <td colspan="8">Please report on the previous year audit action plan until the audit action plan for the new year is developed.</td> </tr> </tbody> </table>										Performance Information: Audit Outcomes	Audit Outcome	Audit Outcome	Audit Action Plan in place (Yes/No)	Audit Action Plan Implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	Audit Outcome achieved	Unqualified with findings	Unqualified with findings			6	4	2	30-Jun-22	Audit Action Plan	Please report on the previous year audit action plan until the audit action plan for the new year is developed.																																																																						
Performance Information: Audit Outcomes	Audit Outcome	Audit Outcome	Audit Action Plan in place (Yes/No)	Audit Action Plan Implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date																																																																																											
Audit Outcome achieved	Unqualified with findings	Unqualified with findings			6	4	2	30-Jun-22																																																																																											
Audit Action Plan	Please report on the previous year audit action plan until the audit action plan for the new year is developed.																																																																																																		
<table border="1"> <thead> <tr> <th>Performance Information: Financial Management Capability Maturity Module (FMCM)</th> <th>Development of an action plan to address the shortcomings identified in FMCM and ratio assessment report</th> <th>Modules and ratios that the municipality will be addressing</th> <th>Total number of items on the FMCM and ratio Action plan</th> <th>Number of items completed on the FMCM and ratio Action Plan</th> <th>Number of items outstanding on the FMCM and ratio action plan</th> <th>Planned completion date</th> </tr> </thead> <tbody> <tr> <td>Did the municipality develop an action plan to address the shortcomings identified in the FMCM and ratio assessment report</td> <td>Yes</td> <td>Budget Management, Supply Management, Risk Management</td> <td>1432</td> <td>1430</td> <td>2</td> <td>30-Jun-22</td> </tr> <tr> <td>The FMCM action plan must be submitted to HT in September and a progress report on implementation of the plan on a quarterly basis thereof</td> <td>Yes</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>										Performance Information: Financial Management Capability Maturity Module (FMCM)	Development of an action plan to address the shortcomings identified in FMCM and ratio assessment report	Modules and ratios that the municipality will be addressing	Total number of items on the FMCM and ratio Action plan	Number of items completed on the FMCM and ratio Action Plan	Number of items outstanding on the FMCM and ratio action plan	Planned completion date	Did the municipality develop an action plan to address the shortcomings identified in the FMCM and ratio assessment report	Yes	Budget Management, Supply Management, Risk Management	1432	1430	2	30-Jun-22	The FMCM action plan must be submitted to HT in September and a progress report on implementation of the plan on a quarterly basis thereof	Yes																																																																										
Performance Information: Financial Management Capability Maturity Module (FMCM)	Development of an action plan to address the shortcomings identified in FMCM and ratio assessment report	Modules and ratios that the municipality will be addressing	Total number of items on the FMCM and ratio Action plan	Number of items completed on the FMCM and ratio Action Plan	Number of items outstanding on the FMCM and ratio action plan	Planned completion date																																																																																													
Did the municipality develop an action plan to address the shortcomings identified in the FMCM and ratio assessment report	Yes	Budget Management, Supply Management, Risk Management	1432	1430	2	30-Jun-22																																																																																													
The FMCM action plan must be submitted to HT in September and a progress report on implementation of the plan on a quarterly basis thereof	Yes																																																																																																		
<table border="1"> <thead> <tr> <th>Performance Information: Internal Audit Units (IA) and Audit Committees (AC)</th> <th>Yes/No</th> <th>Outsourced Co-Source Inhouse</th> <th>No of Resolutions and recommendations</th> <th>Number Implemented</th> <th>Number Outstanding</th> </tr> </thead> <tbody> <tr> <td>Internal Audit Unit Established</td> <td>Yes</td> <td>Inhouse</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Audit Committee Established</td> <td>Yes</td> <td>Shared</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Resolutions and recommendations of IA</td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Resolutions and recommendations of AC</td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table>										Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced Co-Source Inhouse	No of Resolutions and recommendations	Number Implemented	Number Outstanding	Internal Audit Unit Established	Yes	Inhouse				Audit Committee Established	Yes	Shared				Resolutions and recommendations of IA			0	0	0	Resolutions and recommendations of AC			0	0	0																																																												
Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced Co-Source Inhouse	No of Resolutions and recommendations	Number Implemented	Number Outstanding																																																																																														
Internal Audit Unit Established	Yes	Inhouse																																																																																																	
Audit Committee Established	Yes	Shared																																																																																																	
Resolutions and recommendations of IA			0	0	0																																																																																														
Resolutions and recommendations of AC			0	0	0																																																																																														
<table border="1"> <thead> <tr> <th>Performance Information: Disciplinary boards</th> <th>Established Yes/No</th> <th>Functional Yes/No</th> <th>How many times did they meet this month</th> <th>What were the resolutions taken (Send copies of the resolutions)</th> </tr> </thead> <tbody> <tr> <td>Is the disciplinary board established and functional</td> <td>Yes</td> <td>Yes</td> <td>0</td> <td></td> </tr> </tbody> </table>										Performance Information: Disciplinary boards	Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)	Is the disciplinary board established and functional	Yes	Yes	0																																																																																	
Performance Information: Disciplinary boards	Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)																																																																																															
Is the disciplinary board established and functional	Yes	Yes	0																																																																																																
Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegate Name of the Chief Financial Officer: <i>[Signature]</i> Date: 13/12/2021 Name of the Accounting Officer: <i>[Signature]</i> Date: 13.12.2021.																																																																																																			